

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Reid et al.
Serial No.: 10/586,291
Filed: July 13, 2006
International
Filing Date: January 17, 2005
For: SECURITY SUBSTRATE INCORPORATING ELONGATE SECURITY
ELEMENTS
Art Unit: Not Yet Assigned
Examiner: Not Yet Assigned
Confirmation No.: 4133
Customer No.: 27,623

Attorney Docket No.: 0002978USU/2297

**Mail Stop PCT
COMMISSIONER FOR PATENTS
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Alexandria, VA 22313-1450
Attention: DO/EO/US**

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**TRANSMITTAL OF MISSING PARTS OF APPLICATION AND
PAYMENT OF SURCHARGE UNDER 37 C.F.R. §1.16(e)**

Transmitted herewith are the following documents pertaining to the above-identified application:

- ☒ Declaration and Power of Attorney form executed by **Duncan Hamilton REID, Gerald Sidney PAYNE, Paul HOWLAND, and Peter McLean HENDERSON**;
- ☐ The undersigned attorney has verified that the applicant is entitled to a Small Entity Status;
- ☐ _____ Sheets of Formal Drawings;
- ☒ An Assignment of the Invention to: **DE LA RUE INTERNATIONAL CORPORATION LIMITED** including \$40.00 recordation fee and Assignment Recordation Cover Sheet;

- ☒ Information Disclosure Statement (Form - 1449);
- ☒ A copy of the Notification of Missing Requirements Under 37 U.S.C. 371;
- ☐ Preliminary Amendment; and
- ☐ Petition and Fee for Extension of Time (37 CFR 1.136(A)).

The Filing Fee is calculated below.

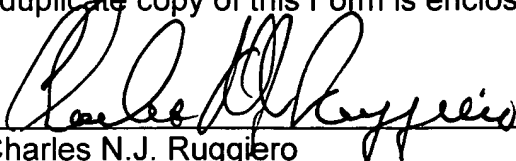
CLAIMS AS FILED				
(1) For	(2) Number Filed	(3) Number Extra	(4) Rate	(5) Basic Fee \$300.00
Total Claims	** - 20 =	0	x \$50.00	\$
Independent Claims	* - 3 =	0	x \$200.00	\$
Multiple Dependent Claim Fee		x \$360.00 = \$0.00		
SEARCH FEE		\$500.00		
EXAMINATION FEE		\$200.00		
NATIONAL STAGE APPLICATION SIZE FEE (\$250 FOR EACH ADDITIONAL 50 SHEETS OVER 100 SHEETS)		\$		
TOTAL FILING FEE		\$PAID		
1/2 FILING FEE FOR SMALL ENTITY		\$		

☒ A check in the amount of \$ 170.00 to cover the surcharge (\$130) and assignment recordation fee (\$40) is enclosed.

☒ The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467**. A duplicate copy of this Form is enclosed.

March 14, 2007

Date



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CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Certificate No. **EV925821960US**, service under 37 CFR §1.10 and is addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, Attention: DO/EO/US on March 14, 2007.

Olga Pinsky

(Typed name of person mailing paper)


(Signature of person mailing paper)



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/586,291	Duncan H Reid	0002978USU/2297

INTERNATIONAL APPLICATION NO.

PCT/GB05/00173

27623
 OHLANDT, GREELEY, RUGGIERO & PERLE, LLP
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I.A. FILING DATE	PRIORITY DATE
01/17/2005	

CONFIRMATION NO. 4133

371 FORMALITIES LETTER



OC000000022594882

Date Mailed: 02/22/2007

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 07/13/2006
- Copy of the International Search Report filed on 07/13/2006
- Preliminary Amendments filed on 07/13/2006
- U.S. Basic National Fees filed on 07/13/2006
- Substitute Specification filed on 07/13/2006
- Priority Documents filed on 07/13/2006
- Specification filed on 07/13/2006
- Claims filed on 07/13/2006
- Abstracts filed on 07/13/2006
- Drawings filed on 07/13/2006

RECEIVED
 FEB 27 2007
 OHLANDT GREELEY
 RUGGIERO

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.
<https://portal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <http://www.uspto.gov/ebc>.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

DEBORAH D WILLIAMS

Telephone: (703) 308-9140 EXT 205

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/586,291	PCT/GB05/00173	0002978USU/2297

FORM PCT/DO/EO/905 (371 Formalities Notice)